PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q61431

Shinsuke HENMI, et al.

Appln. No.: 09/695,840

Group Art Unit: 2834

Confirmation No.: 3264

Examiner: Karen B. ADDISON

Filed: October 26, 2000

For:

BRUSH HOLDER FOR DYNAMO-ELECTRIC MACHINE

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicants are filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

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INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/695,840

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicants enclose herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of at least that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

SUGHRUE MION, PLLC

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: December 5, 2003

Richard C. Turner

Registration No. 29,710

Attorney Docket No.: Q61431



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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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WASHINGTON OFFICE 23373
CUSTOMER NUMBER

Date: December 5, 2003

Richard C. Turner

Registration No. 29,710

Substitute for Form 1449 A & B/PTO	Complete if Known			
	Application Number	09/695,840		
O V P EINFORMATION DISCLOSURE	Confirmation Number	3264		
STATEMENT BY APPLICANTS	Filing Date	October 26, 2000		
DEC 0 5 2003 2	First Named Inventor	Shinsuke HENMI		
l	Art Unit	2834		
eff(use as many sheets as necessary)	Examiner Name	Karen B. ADDISON		
TRADENSTEET 1 of 1	Attorney Docket Number	Q61431		

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document		Publication Date MM-DD-YYYY			
		Number	Kind Code ² (if known)		Name of Patentee or Applicant of Cited Documer		
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FOREIGN PATENT DOCUMENTS							
	Cite	Cite Foreign Patent Document		ment	Publication Date	Name of Patentee or	
	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		JР	55-49954		04-11-1980		No

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*			Translation	

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Examiner Signature	Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.